



# Compliance

**Driven by a business process and risk approach, MEGA helps companies conduct compliance programs to manage external constraints and ensure internal objectives.**

## The Challenge

The multiplication of regulations aims to help companies to maintain control over their activities in the current economic market context. The main challenge is to succeed in leveraging compliance and corporate requirements into an opportunity to improve business. Important issues must be addressed:

- How to manage effective global and multi-compliance programs while taking into account processes, employees, partners and assets?
- How to efficiently demonstrate adherence to regulations, standards and internal policies and procedures?
- How to ensure the optimal compliance level of the organization in a cost effective manner?
- How to balance risk exposure and business profitability?

## The MEGA Compliance Solution

To enable compliance officers to coordinate their activities, and internal auditors to monitor compliance programs, the MEGA compliance software solution provides the means to assure that all elements of the business strictly follow established requirements.

MEGA's compliance approach is based on its leading software, proven methodologies, and expert consulting. It provides essential communication capabilities to ensure that initiatives, as well as the management tools required to deliver tangible and immediate results, are properly shared within the organization.

The solution helps define the company risk universe, and streamline the control documentation, assessment and testing processes. It establishes appropriate control systems and remediation plans, and generates reports and dashboards by roles to deliver insight for more informed decisions.

Regulations addressed by the MEGA Compliance solution includes Sarbanes-Oxley, the UK's Financial Services Authority requirements, such as treating customers fairly (TCF), French financial security law LSF, Germany's company control and transparency law, KonTraG, and Italy's Law 262.

## BENEFITS

**Share a consolidated company environment view** with a common repository integrating organizational elements, processes, regulations, requirements and policies, risks and controls.

**Adopt a complete and consistent approach to compliance management** with continuous control monitoring, efficient control self-assessment, and consistent testing plans.

**Balance the costs of regulatory compliance** by implementing a risk-based approach in the compliance management program.

## Related Software Tools

As a recognized major governance, risk and compliance player, MEGA integrates all compliance practices into a common solution, providing the dedicated tools to meet each stakeholder's objectives.

The underlying MEGA Suite platform is built on a common repository, which is a valuable asset for storing, managing and sharing information. The platform provides standard services to adapt the product to your organization's context, ease the user's navigation, deliver accurate and convenient reporting and dashboarding, and set access control and user profiles.

Additionally, advanced customization features are available to adapt the MEGA Suite environment to the organization's processes and needs.

Depending on the scope of your compliance project, you may need the following tools:

**MEGA RCSA** identifies risks and controls, and assesses and analyzes their impact on the organization to build an accurate and consistent company risk profile.

**MEGA Control** consistently manages control assessment and testing, and produces accurate reports that evaluate the internal control systems and defines the appropriate corrective actions.

**MEGA KRI** defines, monitors, and manages key risk areas to easily identify business performance levels, recognize vulnerabilities, and minimize risks.

**MEGA Policy and Regulation** describes and documents company policies, procedures, and regulatory requirements to determine an acceptable level of compliance while improving business execution.

**MEGA Action Plan** defines action plans, manages their progress and follow up, and monitors the results to ensure the improvement of the operational activities.

## The MEGA GRC consulting practice

Driven by a business process and risk approach, and a structured methodology, MEGA consultants support customers in:

- Streamlining and optimizing processes related to the risk, compliance, and audit;
- Leveraging a structured approach and an adapted methodology to standardize practices;
- Developing a prioritized understanding of risks and their impact on the business;
- Managing changes required for performance improvement projects;
- Customizing the platform to support efficient deployment in the organization.



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